

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Can V. BUI et al.

Group No.: 1654

Serial No.: 10/560,558

Examiner: Not Yet Assigned

Filed: December 12, 2005

Confirmation No.: 2816

For: Nutraceutical for the prevention and treatment of cancers and diseases affecting the liver

Certificate of Electronic Filing

I hereby certify that the attached Response to Notification of Missing Requirements Under 35 U.S.C. 371 and all marked attachments are being deposited by Electronic Filing on 12/27/06 by using the EFS - Web patent filing system and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Ann Lygas  
Ann Lygas

Date: December 27, 2006

**Mail Stop Missing Parts**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

This replies to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed November 22, 2006. A copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) is enclosed.

**DECLARATION**

- ☒ No declaration or oath was filed. Enclosed is the original declaration or oath for this application (PTO/SB/01).

**SMALL ENTITY STATUS**

- ☒ Applicant claims small entity status. See 37 CFR 1.27.

The Commissioner is authorized to charge any additional fees which may be required, including petition fees and extension of time fees, to Deposit Account No. 23-2415 (Atty. Docket No. 30915-701.831).

Date: 12/27/06

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**DOCKETED**

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

Canthaw/30915-701.831  
 UGh/2LP/AL7

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/560,558	Can V Bui	30915-701.831

INTERNATIONAL APPLICATION NO.	
PCT/US04/18380	
IA. FILING DATE	PRIORITY DATE
06/10/2004	06/13/2003

21971

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 PALO ALTO, CA 94304-1050

**RECEIVED**

NOV 27 2006

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 GOODRICH & ROSATI

CONFIRMATION NO. 2816

371 FORMALITIES LETTER



\*OC000000021328695\*

Date Mailed: 11/22/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 12/12/2005
- Copy of the International Search Report filed on 12/12/2005
- Preliminary Amendments filed on 12/12/2005
- Small Entity Statement filed on 12/12/2005
- Request for Immediate Examination filed on 12/12/2005
- U.S. Basic National Fees filed on 12/12/2005

WSGR PATENT DOCKET	
U.S. <input checked="" type="checkbox"/>	FOREIGN: <input type="checkbox"/>
DOCKETED: 11-27-06 BY: <u>UD</u>	
ACTION: <u>missing requirements</u>	
DUE DATES: <u>Due 1-22-07</u> <u>Final 6-22-07</u>	
ATTY: <u>2LP</u> C/M #: <u>30915-701.831</u>	

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.

<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

LAMONT M HUNTER

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**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/560,558	PCT/US04/18380	30915-701.831

FORM PCT/DO/EO/905 (371 Formalities Notice)